

# 2014 PRELIMINARY MORTALITY REPORT



**COMMONWEALTH OF MASSACHUSETTS  
EXECUTIVE OFFICE OF HEALTH & HUMAN SERVICES  
DEPARTMENT OF DEVELOPMENTAL SERVICES**

**PREPARED BY:  
CENTER FOR DEVELOPMENTAL DISABILITIES  
EVALUATION AND RESEARCH (CDDER),  
UNIVERSITY OF MASSACHUSETTS MEDICAL SCHOOL**

Prepared by:

Emily Lauer, MPH  
Director

Center for Developmental Disabilities Evaluation and Research (CDDER)

Prepared with support from:

Laney Bruner-Canhoto, PhD  
Assistant Commissioner for Quality  
Management  
MA DDS

Sharon Oxx, RN, CDDN  
Director of Health Services  
MA DDS

**Center for Developmental Disabilities Evaluation and Research**

University of Massachusetts Medical School

Eunice Kennedy Shriver Center

55 Lake Avenue North, S3-322, Worcester, MA 01655

Tel. (774) 455-6562 Fax. (774) 455-6565

[www.umassmed.edu/cdder/](http://www.umassmed.edu/cdder/)

[cdder@umassmed.edu](mailto:cdder@umassmed.edu)



**The Commonwealth of Massachusetts**  
**Executive Office of Health & Human Services**  
**Department of Developmental Services**  
**500 Harrison Avenue**  
**Boston, MA 02118**

**Charles D. Baker**  
**Governor**

**Karyn E. Polito**  
**Lieutenant Governor**

**Marylou Sudders**  
**Secretary**

**Jane F. Ryder**  
**Commissioner**

**Area Code (617) 727-5608**  
**TTY: (617) 624-7590**

Dear Colleagues and Friends:

Enclosed is the Department of Developmental Services Preliminary Annual Mortality Report for calendar year 2014. The report is compiled by the Center for Developmental Disabilities Evaluation and Research (CDDER), of the University of Massachusetts Medical School. The report analyzes information on all deaths occurring in calendar year 2014 for all persons 18 years of age or older who have been determined to be eligible for DDS supports. This is the ninth year in which DDS has commissioned an independent review of all deaths.

The report is a significant component of the Department's quality management system and reflects DDS's ongoing commitment to reviewing and learning from critical information gathered regarding individuals within our system. DDS is committed to a thoughtful and detailed review of deaths of individuals we support and the opportunity such a review presents for organizational learning. Massachusetts is one of but a handful of states that compiles mortality information. We are proud of the fact that data from this report informs the Department's on-going service improvement efforts.

With the assistance of CDDER, DDS has made significant progress in improving our standardized reporting systems, strengthening our clinical mortality review process and improving the comparability of our data to state and national death statistics.

This report is reviewed by the Statewide Mortality Review Committee as well as our Statewide Quality Council to assist DDS in its ongoing commitment to supporting the health and quality of life of the individuals we support. I remain committed to the importance of this independent mortality report as a vital and critical component of the Department's quality management and improvement system and an important step in our shared organizational learning process.

Sincerely yours,

A handwritten signature in black ink that reads "Jane F. Ryder".



## TABLE OF CONTENTS

<b>Executive Summary</b> .....	3
<b>Introduction</b> .....	4
<b>Overview of Population Served by DDS</b> .....	5
<b>Mortality During 2014</b> .....	5
Age.....	6
Gender.....	7
Residence.....	7
Hospice Use.....	11
<b>Mortality Review Process and Committee</b> .....	11
<b>Investigations</b> .....	12
<b>Appendices</b>	
A: Methodology for Mortality Review and Analysis.....	14
B: Demographic Data.....	15
<b>References</b> .....	19

## **LIST OF TABLES AND FIGURES**

### **Tables**

Table 1: Mortality Trends in DDS, 2009 - 2014.....	5
Table 2: Distribution of Deaths by Age Group, 2014 .....	6
Table 3: No. Deaths, Average Age at Death and Death Rate by Gender, 2014 .....	7
Table 4: Age and Mortality by Type of Residential Setting, Adults Served by DDS, 2014 .....	8
Table 5: Number of Individuals Receiving Hospice Support.....	11
Table 6: Summary of Investigations, 2007- 2014 .....	13
Table 7: Findings in Cases Investigated by DDS or DPPC, 2007- 2014 .....	13
Table 8: 2014 DDS Population.....	15
Table 9: Annual DDS Population Change within Age Group A Comparison of 2013 and 2014.....	16

### **Figures**

Figure 1: Mortality Rate by Age Group, Adults Served in 2014.....	6
Figure 2: Relationship between Mortality Rate, Average Age at Death, and Type of Residence, 2014.....	8
Figure 3: Distribution of the Population Served by DDS by Age and Gender, 2014.....	15
Figure 4: DDS Population Change, 2013-2014.....	16
Figure 5: DDS Population Change by Gender, 2013-2014 .....	17
Figure 6: Gender Distribution by Age, Adults Served by DDS 2014 .....	17
Figure 7: Where People Live .....	18

## Executive Summary

This report presents population and mortality information about adult (18 years of age and older) service recipients of the Massachusetts Department of Developmental Services (DDS) for the one year period between January 1, 2014 and December 31, 2014.

Annual mortality reports are part of the Massachusetts DDS' robust quality management and improvement system. DDS' established process for mortality review and death reporting provide the data included in this report. Mortality findings are used to inform quality improvement efforts for supports provided by DDS. The report is written by the University of Massachusetts Medical School, E.K. Shriver Center, Center for Developmental Disabilities Evaluation and Research (CDDER), which has prepared annual reports on mortality within this population of Massachusetts citizens since the year 2000.

In the middle of calendar year 2014, the Massachusetts DDS served 24,750 adults (18 years of age and older) with intellectual disabilities. In 2014, the population in the youngest age groups increased by 5.6%, continuing a pattern of annual increases in this age group observed since 2012. Shifts may be observed due to people aging into adult services, people aging into adjoining age bands, people relocating out of the state, and people who have died.

In 2014, a total of **412 deaths** occurred for people eligible for DDS services, for a crude mortality rate of **16.6 deaths per thousand people**. The average age at death of adults in the DDS population was 60.9 years in 2014. Statewide mortality statistics in 2014 do not show a significant change in the rate of death for the population from 2013.

Patterns of mortality in the DDS population are influenced by a number of factors:

- **Age:** Mortality rates show a proportional relationship with advancing age – the youngest age groups have the lowest rates of death and the mortality rate increases with age. The average age of death was similar to prior years.
- **Gender:** A statistically significant difference was observed in mortality by gender with females experiencing a higher rate of death than males in 2014. There was not a significant difference in mortality rates between genders in 2012 and 2013.
- **Residential Setting:** Consistent with expectations, substantial differences in mortality exist between residential settings. Mortality rates are lowest in people living at home or with family (6.5 per thousand), who tend to be younger than people in other residential settings. Mortality rates are highest for people living in nursing homes (117.6 per thousand) and DDS Facilities (54.0 per thousand) due to advanced age and/or serious health conditions. In 2014, the average age of death for people living in nursing homes fell slightly to 52.3 years due to multiple deaths of young adults with substantial medical needs living in pediatric nursing homes. The relationship between type of residence and mortality are consistent with prior years and with trends present in other state intellectual disability systems. Rates of death in the 'DDS Community' were similar across years (26.6 per thousand).

Once the 2014 data are finalized within the Department of Public Health for analysis, a final report will be issued including information on causes of death.

# 2014 Preliminary Mortality Report

## INTRODUCTION

This report presents population and mortality data for adults (18 years of age and older) eligible for services from the Massachusetts Department of Developmental Services (DDS) during the periods of January 1 and December 31, 2014 (calendar year 2014). The mortality information in this report includes all adults who were eligible to receive services in the Meditech Consumer System during these periods and who died during the calendar year.

The Massachusetts DDS utilizes a formal process for reviewing and reporting instances of mortality. This process, instituted in 1999, is an integral component of the Department's robust quality management and improvement system. Through this process, DDS reviews the causes and circumstances of the deaths of people it supports, and uses the findings to inform quality improvement efforts of the Department. As part of this effort, the University of Massachusetts Medical School, E.K. Shriver Center, Center for Developmental Disabilities Evaluation and Research (CDDER) has prepared annual reports on mortality of this population of Massachusetts citizens since the year 2000. In order to prepare each annual report, CDDER compiles mortality information from DDS records as well as other external sources and performs mortality and population analyses contained in this report.

### **DDS Clinical Mortality Review**

**Clinical mortality reviews are conducted by the DDS Mortality Review Committee for deaths of people served by DDS who:**

- **Are at least 18 years of age;**
- **Receive a minimum of 15 hours of residential support that is provided, funded, arranged or certified by DDS;**
- **Died in a day support program funded or certified by DDS;**
- **Died in a day habilitation program; or**
- **Died during transportation funded or arranged by DDS.**

**Not all of the people served by DDS who die meet the criteria for a clinical mortality review. See the section on mortality review for a more detailed description of the process. This report includes deaths of people that received a clinical review and those that did not.**

This report is a preliminary analysis of mortality during 2014 that includes patterns of mortality across demographic factors (age, gender, and residential settings), but does not include patterns related to causes of death and associated benchmarks. In its analysis of causes of death, CDDER uses information from death certificates collected by the Massachusetts Department of Public Health. As of the writing of this report, the death certificate data for 2014 had not yet been finalized for analysis. A final report will be issued once the death certificate information is fully available from the state department.



## OVERVIEW OF POPULATION SERVED BY DDS

Since the population served by DDS fluctuates over the course of the year, the midyear population is used as an estimate of the annual population in this report. In the middle of calendar year 2014, the Massachusetts DDS served 24,750 adults (18 years of age and older) with intellectual disabilities. A net increase of about 5.6%, or 1,304 people, was seen in the mid-year adult population receiving services from June 2013 to June 2014. See Appendix B for more details annual population changes.

The population served by DDS tends to be younger than the general population, with a smaller proportion of people living into older age groups (e.g. 65 years and older). About 50% of the population lives in their own home independently or with family, about 40% living in community-based supported residential settings, and the remainder live in other settings including nursing homes, facilities and other staff-supported locations. See Appendix B for more details on age, gender and residential setting distributions.

## MORTALITY DURING 2014

This section contains information on the deaths of people with intellectual disabilities who were 18 years of age or older at the time of death and who were eligible for DDS services during calendar years 2014. Appendix A describes the methodology used to collect and analyze the information and data contained in this section.

### Mortality Statistics

In 2014, a total of **412 deaths** occurred for people eligible for DDS services, for a crude mortality rate of **16.6 deaths per thousand people**.<sup>1</sup> Changes in mortality rate were not significantly different between 2013 and 2014.<sup>a</sup>

The average age at death of adults in the DDS population was 60.9 years in 2014. The median age at death<sup>b</sup> of adults in the DDS population was **61.3 years in 2014**.

Table 1  
**Mortality Trends in DDS, 2009 - 2014**

Year	No. Deaths	Mortality Rate (No. Deaths/1000)	Ave. Age at Death (in years)
2009	421	17.6	58.7
2010	406	16.6	61.5
2011	440	18.4	61.1
2012	438	19.2	62.5
2013	409	17.4	61.1
2014	412	16.6	60.9

<sup>a</sup> For 2013 to 2014,  $\chi^2=0.44$ , d.f.=1

<sup>b</sup> Median = the middle age if all deaths were ranked by age

The number of deaths for people served by DDS in 2014 was similar to recent previous years. Table 1 shows the deaths, mortality rates and average age at death for the DDS population for 2009 through 2014. The mortality rate and average age at death increased slightly in 2012 (19.2 per thousand and 62.5 years) and then decreased to numbers consistent with previous years. This pattern may reflect normal fluctuation in a small population.

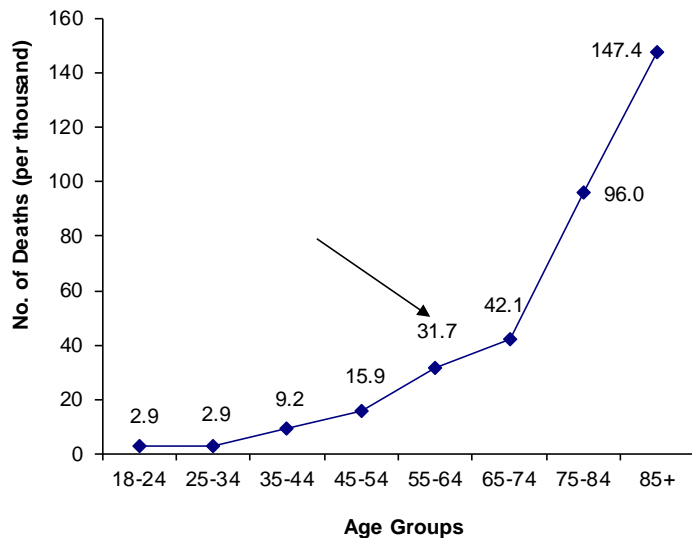
## AGE

Mortality statistics for the adult population by age group are presented in Table 2. The use of a mortality rate (deaths per thousand people) controls for differences in the population size between age groups, and allows for age groups of different size to be compared to each other.

Table 2  
Distribution of Deaths by Age Group, 2014

Age Range	2014		
	No. Deaths	Percent of Deaths	Crude Death Rate (No. per 1000)
18-24 yrs	15	3.6%	2.9
25-34 yrs	15	3.6%	2.9
35-44 yrs	33	8.0%	9.2
45-54 yrs	73	17.7%	15.9
55-64 yrs	113	27.4%	31.7
65-74 yrs	77	18.7%	42.1
75-84 yrs	63	15.3%	96.0
85 yrs & older	23	5.6%	147.4
<b>Total</b>	<b>412</b>	<b>100.0%</b>	<b>16.6</b>

Figure 1  
Mortality Rate by Age Group  
Adults Served in 2014



The relationship between age and rate of death for adults served by DDS is displayed in Figure 1. The line in Figure 1 illustrates the increase of mortality rate with age. In the elderly age groups (age 65+) mortality rates are the highest, showing sharp increases compared to younger age groups. These higher rates reflect the expected increase in risk of mortality for adults of advanced age. A very similar pattern between rate of death and age was seen in 2013 and previous years.

## GENDER

Gender proportions vary with age in the population served by DDS, and a complex relationship exists between gender and mortality. Females served by DDS experience higher death rates than their male counterparts, a pattern which has been observed consistently in recent years. As noted earlier, there are a higher proportion of females in older age groups which have a higher death rate. These trends are consistent with previous years.

Table 3 displays the adult population, number of deaths, percent of overall deaths, average age at death and rate of death for each gender. The adult mortality rate for females is 18.8 per thousand in 2014. For males, the adult mortality rate was 15.0 per thousand in 2014.

Table 3  
**No. Deaths, Average Age at Death and Death Rate by Gender, 2014**

Gender	Adult Population	No. Deaths	Percent of Deaths	Average Age at Death	Death Rate (n/1000)
Female	10,682	201	49%	60.1	18.8
Male	14,068	211	51%	61.7	15.0

## RESIDENCE

Adults eligible for DDS services live in one of five general types of residential settings: their own home independently or with family referred to in this report as “Own Home”; community settings operated, funded or certified by DDS referred to in this report as “DDS Community”; residential programs that are not part of the DDS system referred to as “Non-DDS”; facilities operated by DDS referred to as “DDS Facilities”; and nursing homes or other long-term care settings referred to as “Nursing Home”. Detailed definitions, including residential codes, are contained in Appendix B. Mortality statistics for these residential categories are displayed in Table 8.

### Age and Residence

The average age at death varies across residential settings. Generally, the average age at death for each residential setting is reflective of the relative age and the health status of the population that resides in each setting. Historically, in the DDS population, the rate of death is higher in residential settings which also have a higher average age at death. This is an expected finding since age is highly correlated with risk of mortality. However, the average age of death in nursing homes has decreased steadily from 71 in 2012, to from 59 in 2013 to 52 in 2014. This pattern differs from previous years when the average age of death trend line increased across care settings by order of intensity of services provided. In both years, the average age of death in nursing homes was lowered due to more deaths of young adults with complex health needs residing in pediatric nursing homes. The decrease in average age of death among DDS clients residing in nursing homes may be reflective of the increase of health complexity among individuals residing in nursing homes as individuals with less intensive needs transition to

community settings<sup>2</sup>, and may also be affected by more children with complex health needs living into early adulthood.

As shown in Tables 4, the average age at death was lowest for people living in their own home (49.9 years in 2014). The average age at death was highest for those living in DDS Community (64.1 years in 2014) and DDS Facility settings (64.5 years in 2014). The average age of adults served by DDS who reside in their own home is often younger than those who reside in DDS Facilities or nursing homes. Because of this discrepancy, average age of death is often lower for individuals residing in their own homes.

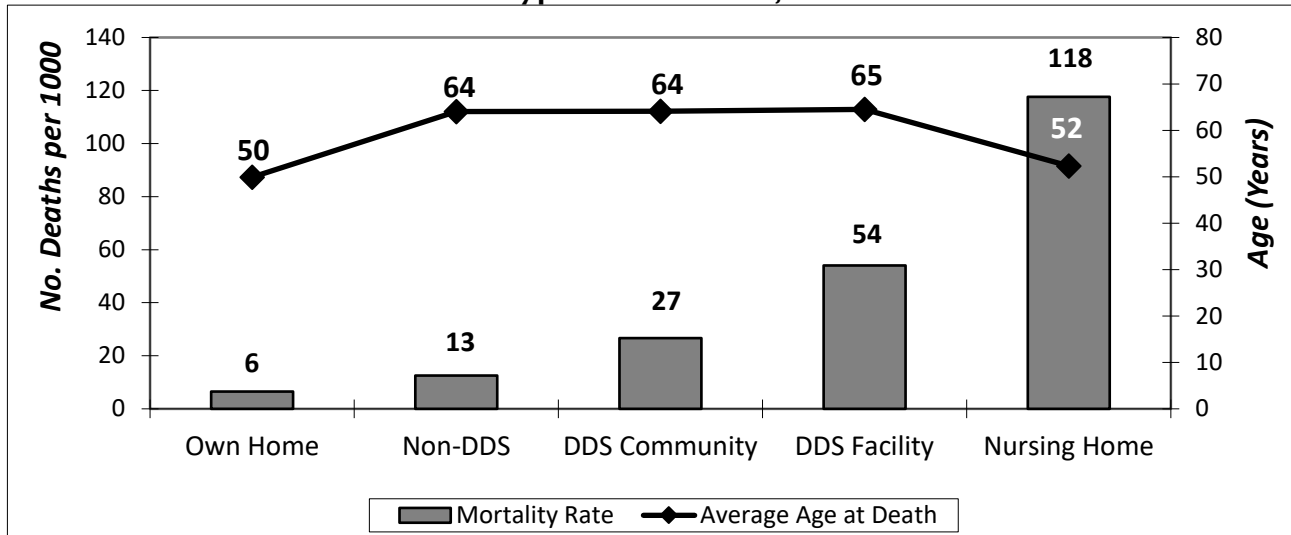
The settings with the highest mortality rate for 2014 were nursing homes and DDS Facilities. These patterns are consistent with previous years. From 2013 to 2014, there was a decrease in mortality rate among individuals residing in Non-DDS settings (25.9 per thousand to 12.6 per thousand). These settings include inpatient facilities run by other state agencies, Adult Foster Care settings, homeless shelters, and assisted living settings. The wide variety of Non-DDS settings and the small proportion of the population residing in these settings may account of inconsistencies in mortality data from year to year. Additionally, shifts in how residential settings are classified over the years likely contributed to rate differences.

Table 4  
Age and Mortality by Type of Residential Setting,  
Adults Served by DDS, 2014

Residential Setting	Adult Population (No. People)	% of DDS population <sup>c</sup>	% of Population 65+ yrs	No. Deaths	Percent of Deaths	Average Age at Death (in years)	Mortality Rate (n/1000)
Own Home	11,916	48.1%	5%	77	19%	49.9	6.5
DDS Community	9,609	38.8%	16%	256	62%	64.1	26.6
Non-DDS	2,542	10.3%	10%	32	8%	64.0	12.6
DDS Facility	500	2.0%	34%	27	7%	64.5	54.0
Nursing Home	170	0.7%	28%	20	5%	52.3	117.6
<b>Total (Statewide)</b>	24,750	100%	11%	412	100%		16.6
<b>Average</b>						60.9	

<sup>c</sup> Total may sum to greater than 100% due to duplication in enrollment data.

Figure 2  
Relationship between Mortality Rate, Average Age at Death,  
and Type of Residence, 2014



### Own Home

People served by DDS living independently in their own home or with family comprised just under half of the individuals served by DDS and had the lowest mortality rates in 2014, similar to previous years. The crude adult rate of death for those living in their own home was 6.5 per thousand in 2014. The rate was slightly higher than previous years. The crude adult mortality rates for people living in their own home continues to be lower than the crude mortality rate of 8.2 per thousand for all ages of the general population of Massachusetts.<sup>3</sup> The subgroup of people living in their own homes is the youngest on average of all residential subgroups and has the smallest percentage of people over the age of 65 (5%); this is reflected in the relatively low average age at death of 49.4 years.

### DDS Community

‘DDS Community’ describes a diverse residential subgroup both in terms of age and level of service need (e.g. ranging from weekly staff supports to in-home supports 24 hours per day, 7 days per week). This is the second-largest residential subpopulation of people receiving services from DDS in Massachusetts. The crude adult mortality rate for people served by DDS living in the DDS Community was 26.6 per thousand in 2014. The mortality rate in the DDS Community was not significantly different in 2013.<sup>d</sup> The mortality rate among individuals in the DDS Community population is consistent with 2013 data and continues to be higher than data prior to 2012. It is possible that recent changes in the population living in the DDS Community, including those with high medical needs who were previously living in nursing homes, may contribute to this increase. For example, at the time of transition into DDS Community settings, a portion of people in this group have been in receipt of hospice services due to terminal conditions. The average age at death (64.1 years) is similar to the average age for this population.

<sup>d</sup> Z-test between proportions of residential-specific deaths and populations,  $z = -0.01$

## Other Residential Settings

The remaining three residential settings, Non-DDS funded supported settings, DDS facilities and nursing homes, represent in total about 13% of the entire DDS population. It is important to note that such small population numbers can result in large annual fluctuations in the rate of death when compared by residential setting. Changes in rate should therefore be interpreted with caution as small changes will have a relatively large impact on mortality rates.

**Non-DDS.** The Non-DDS category includes a variety of residential settings, some of which are paid for by other Health and Human Services Agencies as well as some special programs. Because of this, demographics among this group tend to vary greatly. The population grew in 2014 largely due to increases in adult foster care use. However, the population increased in 2014 to numbers consistent with years prior to 2013, and this change may be due to changes in how enrollments are categorized in DDS systems. Thirty-two people in 2014 served by DDS living in Non-DDS residences died. The adult mortality rate for this setting was 12.6 per thousand in 2014.

**DDS Facilities.** The population in this setting is shrinking as efforts are made to shift facility-based residential supports to community-based supports. Between 2013 and 2014, the total population decreased by over 9% from 550 individuals to 500 individuals. The population remaining in facilities is the oldest of all residential settings, with more than 34% over the age of 65. In 2014, 27 people died for a crude adult mortality rate of 54.0 per thousand. The mortality rates in 2014 and 2013 were not significantly different.<sup>e</sup> Because of the changes to the underlying population in this setting, comparisons between years should be made with caution.

**Nursing Homes.** Since the Supreme Court's *Olmstead vs. L.C.* (1999) decision, states are required to screen all applicants to a Medicaid-certified nursing facility for intellectual disabilities to help ensure that people receive the assistance they require in the least restrictive setting and are not inappropriately placed in nursing facilities.<sup>4</sup> As a result, people living in this setting have some of the highest care needs of all people served by DDS and over one quarter are over the age of 65 years. The population of people served by DDS living in nursing homes is the smallest population overall and represents less than 1% of all individuals served. In 2014, 20 people who were residing in nursing homes (for more than 30 days) died. This setting had a crude adult mortality rate of 117.6 per thousand in 2014, representing the highest rate of death of all residential settings. No significant difference was observed between 2013 and 2014.<sup>f</sup> The mortality rate for this setting is likely affected by increased efforts to divert people from living in nursing homes when possible, resulting in a greater proportion of people in these settings being at the end of their lives. Deaths in this setting represented 5% of all deaths for people served by DDS.

---

<sup>e</sup> Z-test between proportions of residential-specific deaths and populations,  $z = 0.78$

<sup>f</sup> Z-test between proportions of residential-specific deaths and populations,  $z = -0.07$

## **Hospice Use**

In 2014, the proportion of deceased individuals who received hospice support increased to 181 or 43.9% compared to 2013. The rate of hospice use is very similar to the general population where 44.6% of deaths in the US were reported to use hospice services in 2011,<sup>5</sup> which is in line with expectations given the frequency of end stage conditions observed in causes of death.

Table 5  
**Number of Individuals Receiving Hospice Support**

<b>Hospice</b>	<b>2013</b>		<b>2014</b>	
	<b>No. Deaths</b>	<b>Percent of Deaths</b>	<b>No. Deaths</b>	<b>Percent of Deaths</b>
Yes	162	39.6%	181	43.9%
No	231	56.5%	214	51.9%
Unknown	16	3.9%	17	4.1%
<b>Total</b>	<b>409</b>	<b>100.0%</b>	<b>412</b>	<b>100.0%</b>

## **MORTALITY REVIEW PROCESS AND COMMITTEE**

Clinical mortality reviews are completed by DDS for all deaths involving people who meet the following criteria:

1. 18-yrs of age and older,
2. receive a minimum of 15-hrs of residential support provided, funded, arranged or certified by DDS, or
3. died in a day support program funded or certified by DDS, or
4. died while participating in a day habilitation program, or
5. died during transportation funded or arranged by DDS.

Mortality reviews for this population are submitted to the Regional and/or Central Review Committee for analysis, confirmation of cause of death and follow-up if indicated. All reviews (100%) required by DDS policy were completed in 2014. A total of 276 required reviews plus 3 requested were completed for 2014 deaths.

### **Mortality Review Procedure**

A Clinical Mortality Review is conducted by the DDS Area Nurse or Facility Nurse utilizing the standardized Clinical Mortality Review Form. Clinical Mortality Review Forms are submitted to Central Office upon completion and review by the Regional Director, Facility Director or their designee within 30 days of the death.

A review of each case is conducted by the Regional Mortality Review Committee which consists of at least 1 Registered Nurse, 1 Risk Manager and 1 representative from the Central Mortality Review Committee. Other members may be assigned at the discretion of the Region. When reviewing a case, the Regional Committee considers if there are any unanswered questions with respect to timely diagnosis or identification of health issues, appropriate treatment or intervention, standards of care, advocacy, staff training,

medication regimen, or clinical oversight. The Regional Committee seeks answers to any questions raised in the review process before determining if the case can be closed or must be referred to the Central Mortality Review Committee based on a list of criteria provided.

The Central Mortality Review committee is made up of the DDS Director of Health Services, DDS Director of Risk Management, DDS Director of Investigations, at least one representative from each of the Regional Mortality Review Committees, two physicians (one DDS and one a community practitioner), a representative each from the Department of Public Health and the Disabled Person's Protection Commission, a clinical pharmacist, two DDS nurse practitioners, one from a facility and one from an area office, and a DDS ethicist. Cases referred to the Central Mortality Review Committee are reviewed, information is clarified and cases are closed as appropriate.

A random review of at least 10% of the cases closed at the regional level is conducted annually by the Central Committee in order to determine if cases are being closed appropriately and to identify any new criteria for referral to the Central Committee.

## INVESTIGATIONS

All death reports received by DDS are reported to the DDS Investigations Division which forwards all reports to the Disabled Persons Protection Commission (DPPC). Whenever there is a suspicion that the death of a person with intellectual disabilities was the result of abuse, neglect or omission, the Disabled Persons Protection Commission (DPPC), and/or the DDS Investigations Division, and/or the Department of Public Health (DPH) conducts an investigation into the causes, manner, and circumstances of the death. Also subject to investigation are any deaths that meet medico-legal requirements in the Massachusetts General Laws, chapters six and thirty-eight.<sup>9</sup>

Some deaths may involve more than one investigation by more than one state agency. For example, DPH is charged with investigating allegations of abuse, mistreatment or neglect in certain licensed health facilities including hospitals, rehabilitation hospitals and nursing facilities. Therefore DPPC or DDS may conduct an investigation of issues in a DDS funded or licensed setting and DPH may conduct a separate, non-duplicative investigation of the care the person received while in an acute care hospital.

---

<sup>9</sup> "Any death in which the Chief Medical Examiner takes responsibility for determining the cause and manner of death, to include all cases of suspected homicide, suicide, accidental drug overdose, or sudden and unexpected natural deaths."



Table 6 displays investigation information for 2007 – 2014. There were fewer deaths investigated in 2014 than in the previous years. DDS conducted 6 investigations and DPPC conducted 2 investigations in 2014. Law enforcement reviewed 5 cases in 2014.

**Table 6  
Summary of Investigations, 2007 to 2014**

Type of Activity	2007	2008	2009	2010	2011	2012	2013	2014
DDS Investigation	9	8	13	5	3	10	9	6
DPPC Investigation	10	5	3	3	1	3	3	2
Refer to Other Agency	7	0	3	4	4	2	6	0
District Attorney/Law Enforcement Investigation	9	10	3	10	12	13	9	5
Other/dismisssed <sup>h</sup>	5	4	2	3	2	4	2	2
Resolved Fairly and Efficiently	1	0	1	1	0	1	0	0
Total Number of Deaths Investigated	34	18	25	26	24	20	21	10

Table 7 presents the findings of investigations by either DDS or DPPC, including those investigated by the District Attorney and law enforcement. Investigations regarding 2 of the deaths that occurred in 2014 found the allegations were substantiated, meaning the death was the result of abuse, neglect or omission. Six investigations in 2014 were found to be unsubstantiated allegations.

**Table 7  
Findings in Cases Investigated by DDS or DPPC, 2007 to 2014  
(Includes cases deferred to law enforcement)**

Findings	2007	2008	2009	2010	2011	2012	2013	2014
Number of Substantiations	3	1	3	5	4	5	3	2
Pending	3	2	1	1	2	0	1	0
Dismissed or not substantiated	28	15	21	20	18	15	17	8

<sup>h</sup> Complaint was Dismissed, Resolved w/o Investigation or Referred to the Regional Office for administrative review.

## Appendix A

### **Methodology for Mortality Review and Analysis**

This mortality report analyzes information on all deaths occurring in calendar year 2014 for all people with intellectual disabilities, 18 years of age or older, who have been determined to be eligible for DDS supports.

The source data for this report comes from DDS Death Records that must be completed within 24 hours of a person's death according to DDS policy. This report includes statistics on all deaths of people who died in calendar year 2014 and whose Death Report was received by DDS by the writing of this report.

The data used to calculate death rates per 1000 by age group and type of residence was supplied by the DDS Meditech System of July 1, 2014.<sup>i</sup> The Meditech system contains information on every person eligible for DDS supports, including those who may not be receiving DDS services currently. In addition, DDS made Mortality Review forms and clinical notes available to CDDER for verification of information about the people subject to Clinical Mortality Review.

DDS provided the following information for deaths:

- Name of the person
- Date of birth
- Date of death
- Social security number
- Cause of death, if known
- Residence type
- DDS region
- Whether death was referred for investigation
- Whether a Mortality Review form was received
- Ricci class membership status
- Rolland class membership status
- Boulet class membership status

Crude mortality rates were calculated for the entire DDS population. Death rates were also calculated by age category, region and residence type. The specific methodology employed by CDDER for calculating death rates per 1000 for each of the categories is as follows:

$$\text{Crude Death Rate} = \frac{(\text{Number of people who died in calendar year} \times 1000)}{(\text{No. of people in Meditech systems in middle of calendar year})}$$

---

<sup>i</sup> CDDER relies on the accuracy of information about the number of people eligible for DDS services, their ages, region and type of residential placement. Inaccuracies in DDS information systems, if any, will be reflected in the numbers used to compute death rates in the DDS population.

Appendix B

**Demographic Data**

**Age Characteristics**

Figure 3 presents the age distribution for the DDS population in 2014. With the exception of population groups under 25 and over 84, populations are in 10 year age groups. The largest populations are in age bands between 18 and 34, and 45-54, with over 4,500 per age band. There is a decrease in population for adults ages 35-44 which drops to approximately 3,600. Over the age of 54, the population in each age band decreases with increasing age. Compared to the Massachusetts general adult population, a greater proportion of adults served by MA DDS are under age 65 (89% compared to 85%)<sup>6</sup>. Also, while only 0.6% of the MA DDS population is age 85 or older, almost 2% of the Massachusetts general adult population is within this age group.

Table 8  
2014 DDS Population

Age	18-24	25-34	35-44	45-54	55-64	65-74	75-84	85+	Total
Female	1,884	2,236	1,598	2,067	1,625	851	326	95	10,682
Male	3,226	3,009	1,983	2,538	1,943	978	330	61	14,068
Total	5,110	5,245	3,581	4,605	3,568	1,829	656	156	24,750

Figure 3  
Distribution of the Population Served by DDS  
by Age and Gender, 2014

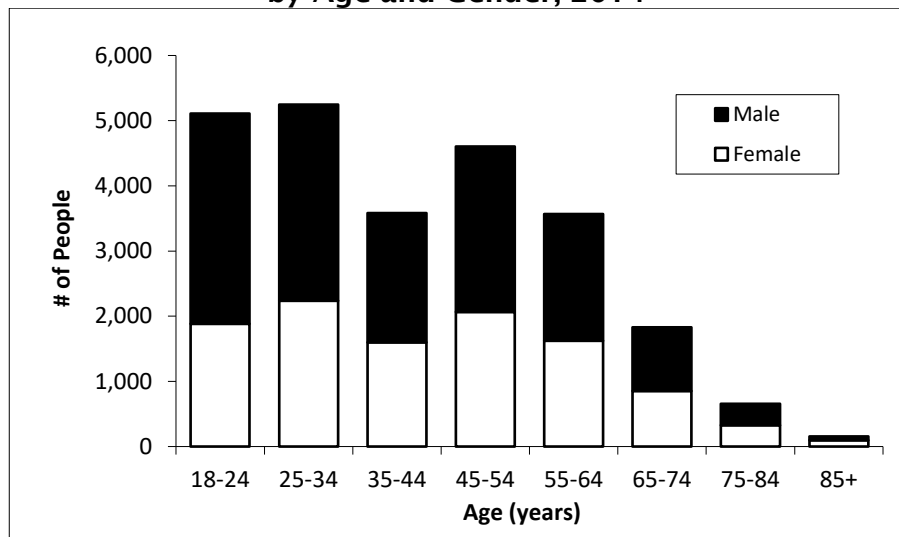


Figure 4 presents the change in the DDS population between calendar years 2013 and 2014. Between 2013 and 2014, there were more people served in almost all age groups, but the largest gains were in the older age groups. As shown in Figure 5, patterns differed slightly by gender with larger proportional increases in the male population 18-24 and at 55-64.

Figure 4  
**DDS Population Change, 2013-2014**

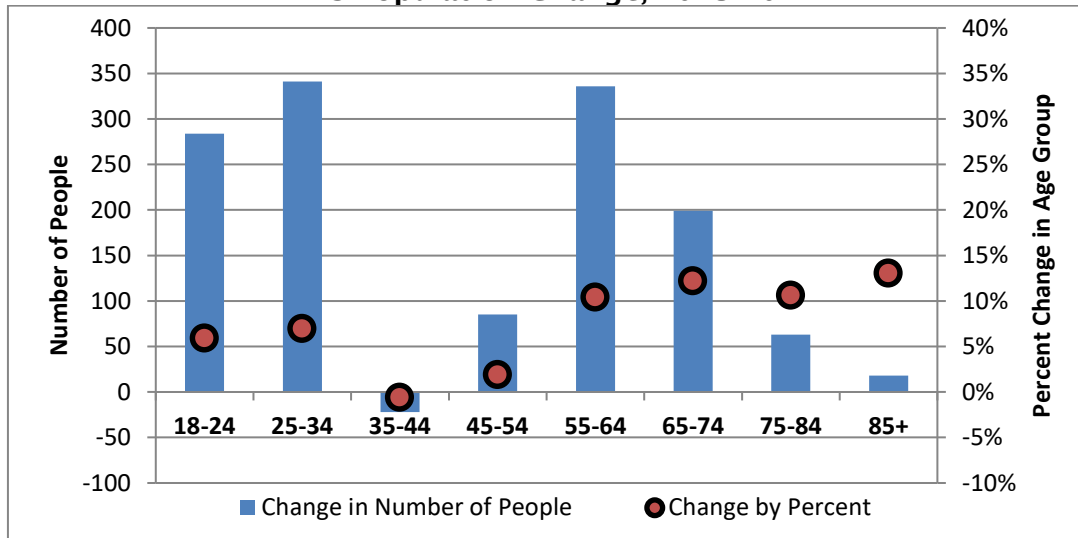
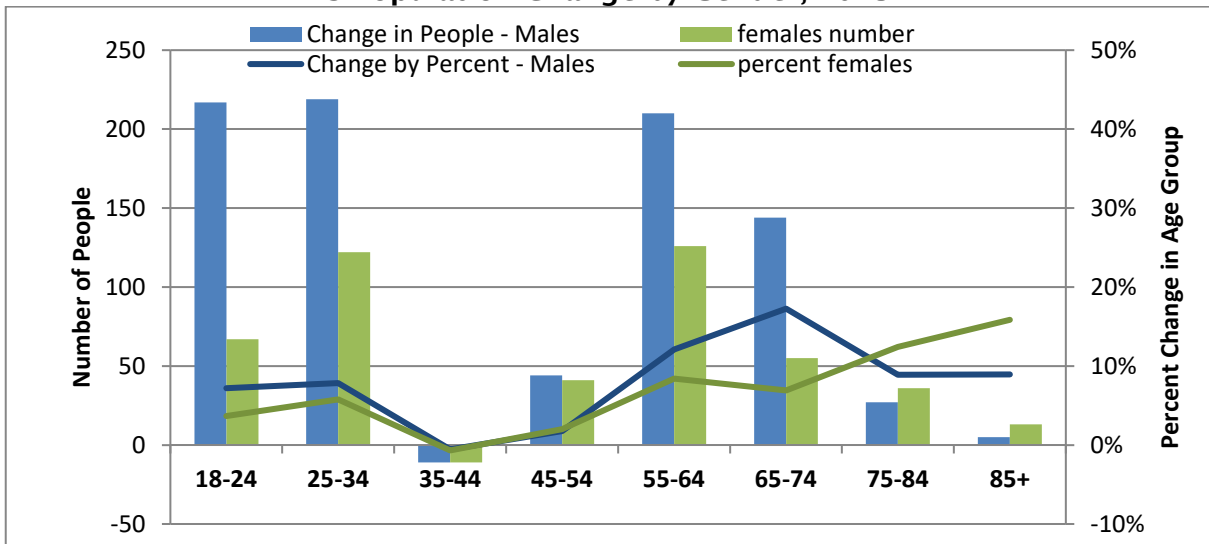


Table 9  
**Annual DDS Population Change within Age Group  
 A Comparison of 2013 and 2014**

Age Group	Gross Population Fluctuation <sup>j</sup>		
	People	% Change within Age Group	Resulting % Change in Population served by DDS from 2013
18-24	284	5.9%	1.2%
25-34	341	7.0%	1.5%
35-44	-22	-0.6%	-0.1%
45-54	85	1.9%	0.4%
55-64	336	10.4%	1.4%
65-74	199	12.2%	0.8%
75-84	63	10.6%	0.3%
85+	18	13.0%	0.1%
<b>Total</b>	<b>1,304</b>	<b>5.6%</b>	<b>5.6%</b>

<sup>j</sup> Gross population change reflects the migration of living people between age groups. The figures take into account the people that must have entered the age group to compensate for death over the course of the year. The percent increase in the population will not match the net population increase.

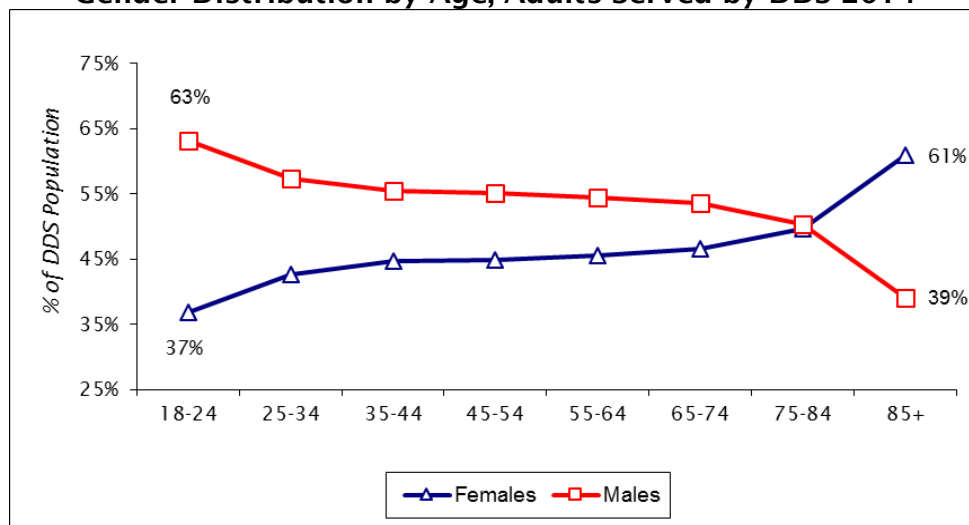
Figure 5  
 DDS Population Change by Gender, 2013-14



### Gender Characteristics

The gender distribution in the 2014 adult DDS population is similar to previous years. The proportion of men served by DDS is highest for individuals age 18-24 and decreases by age group, as illustrated in Figure 6. The proportion of men is higher for all adult age groups except for older adults ages 65-84. For those ages 85 and above, there are a much higher proportion of women. The shift in gender distributions in the elderly population is similar to reports from other states and that seen in the general population. Since 2010, the proportion of males and females in the oldest age group has become closer than in previous years.

Figure 6  
 Gender Distribution by Age, Adults Served by DDS 2014

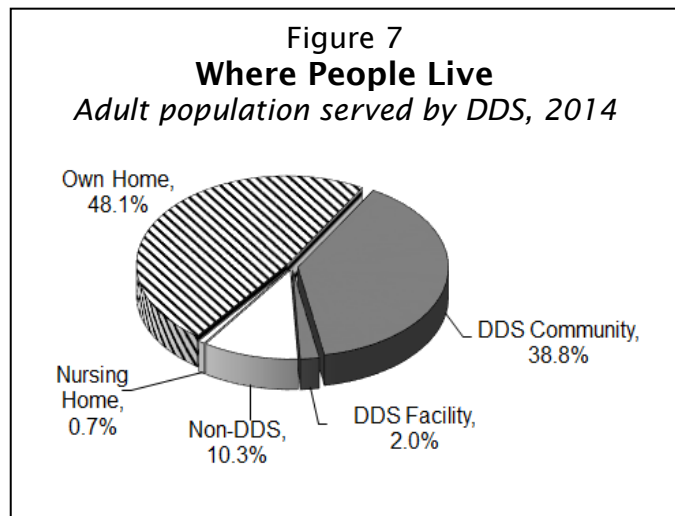


## **Residential Setting Characteristics**

Adults receiving services from DDS reside in a variety of different settings. In this report, the residential settings are grouped into five categories: their own home, either independently or with family; community settings operated, funded or certified by DDS; residential programs that are not part of the DDS system; facilities operated by DDS; and nursing homes or other long-term care settings. The percent of people served by DDS living in each residential category is presented in Figure 7.

In 2013, 50.4% of the adults served by DDS resided in their own home, which includes people living independently or with their family. By 2014, the proportion of the population living in their own homes decreased slightly to now represent less than half (48.1%).

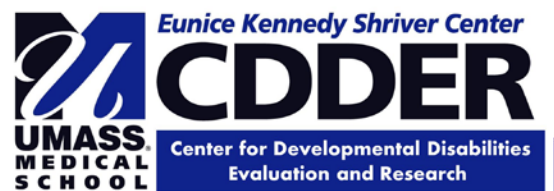
Residential programs operated, licensed/certified or funded by DDS make up the second most common residential setting as seen in the dark grey sections in Figure 7. In 2013, about 41% of adults served by DDS lived in a community residential program, and over 2% lived in DDS facilities. The number of people living in DDS facilities continues to decline annually largely due to DDS’s efforts to plan transitions to community settings for these residents. Several initiatives in Massachusetts have contributed to the declining number of individuals served by DDS residing in facility-based settings. These include the Rolland vs. Patrick lawsuit, which was dismissed in 2013 after 640 class members transitioned out of facilities<sup>7</sup>, the closure of several DDS Residential Care facilities, and the Money Follows the Person Demonstration. All of these initiatives align with the Massachusetts Community First Olmstead Plan, which includes as one of its goals to “help individuals transition from institutional care.”<sup>8</sup>



In 2013 about 6.3% of adults served by DDS resided either in programs that are funded privately or by other agencies, grouped in the Non-DDS category. In 2014, this portion increased 10.3% of the DDS population, as seen in Figure 7. The proportion of the population residing in this section tends to fluctuate annually, in part because it represents a wide array of settings that are not operated by DDS. The portion of the population living in nursing homes continues to small at 0.7% and to decline over previous years impacted by targeted efforts to divert people with intellectual disabilities who may eligible for nursing home-level services to other residential settings as desired.

## REFERENCES

- <sup>1</sup> Standard recommended by the U.S. Centers for Disease Control and Prevention, National Vital Statistics Report, *Age Standardization of Death Rates: Implementation of the Year 2000 Standard*, Vol. 47, No. 3, 1998.
- <sup>2</sup> Kane RL, Lum TY, Kane RA, Homyak P, Parashuram S, & Wysocki A. (2013). Does Home-and Community-Based Care Affect Nursing Home Use? *Aging and Social Policy*, 25(2), 146-160.
- <sup>3</sup> *Massachusetts Deaths 2014*. Office of Data Management and Outcomes Assessment, Massachusetts Department of Public Health, October 2016. Table 1: Trends in Mortality Characteristics, Massachusetts: 2004 – 2014. <http://www.mass.gov/eohhs/docs/dph/research-epi/death-data/death-report-2014.pdf>
- <sup>4</sup> <https://www.medicaid.gov/Medicaid-CHIP-Program-Information/By-Topics/Delivery-Systems/Institutional-Care/Preadmission-Screening-and-Resident-Review-PASRR.html>
- <sup>5</sup> As reported in the National Hospice and Palliative Care Organization Facts and Figures: Hospice Care in America report 2012 Edition which cite the 2011 NHPKO National Data Set and/or NHPKO Member Database and the 1st Quarter 2012, Centers for Medicare and Medicaid Services (CMS) Provider of Service File (POS). [http://www.nhpco.org/sites/default/files/public/Statistics\\_Research/2012\\_Facts\\_Figures.pdf](http://www.nhpco.org/sites/default/files/public/Statistics_Research/2012_Facts_Figures.pdf)
- <sup>6</sup> Annual Estimates of the Resident Population for Selected Age Groups by Sex for the United States, States, Counties, and Puerto Rico Commonwealth and Municipios: April 1, 2010 to July 1, 2014. U.S. Census Bureau, Population Division. June 2015.
- <sup>7</sup> Department of Developmental Services Strategic Plan Summary, 2012-2014.
- <sup>8</sup> The Community First Olmstead Plan. Massachusetts Executive Office of Health and Human Services, 2008.



University of Massachusetts Medical School  
Eunice Kennedy Shriver Center  
55 Lake Avenue North S3-322  
Worcester, MA 01655  
Tel. (774) 455-6562 Fax. (774) 455-6565  
[www.umassmed.edu/cdder/](http://www.umassmed.edu/cdder/) [cdder@umassmed.edu](mailto:cdder@umassmed.edu)